

Report on the

**Alabama Peace Officers' Standards  
and Training Commission  
State of Alabama  
Montgomery, Alabama**

**October 1, 2017 through September 30, 2021**

Filed: September 9, 2022



**Department of  
Examiners of Public Accounts**

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*Rachel Laurie Riddle, Chief Examiner*





Rachel Laurie Riddle  
*Chief Examiner*

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Honorable Rachel Laurie Riddle  
Chief Examiner of Public Accounts  
Montgomery, Alabama 36130

Dear Madam:

An examination was conducted on the Alabama Peace Officers' Standards and Training Commission, Montgomery, Alabama, for the period of October 1, 2017 through September 30, 2021. Under the authority of the *Code of Alabama 1975*, Section 41-5A-19, I hereby swear to and submit this report to you on the results of the examination.

Respectfully submitted,

Rodney Wagstaff  
Examiner of Public Accounts

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Department of  
**Examiners of Public Accounts**

**EXAMINER'S SUMMARY**

**Alabama Peace Officers' Standards and Training Commission  
October 1, 2017 through September 30, 2021**

**PURPOSE AND SCOPE OF EXAMINATION**

This report presents the results of an examination of the Alabama Peace Officers' Standards and Training Commission (the "Commission") and a review of the Commission's compliance with applicable laws and regulations of the State of Alabama. This examination was conducted in accordance with the requirements of the Department of Examiners of Public Accounts under the authority of the *Code of Alabama 1975*, Section 41-5A-12. The examination was performed to determine whether the public officers, agents, and employees of the Commission properly and lawfully accounted for all money and other public assets, or resources received, disbursed, or in the custody of the Commission.

This examination included procedures to determine whether the Commission complied with the state laws and regulations that pertain to its financial transactions; personnel; safeguarding of state-owned assets, property, and resources; information dissemination, processing, and retention; and official actions, rulemaking procedures, and meetings. The Commission's internal control policies and procedures relating to the areas listed above were also reviewed; however, this examination did not encompass managerial and operational matters, such as whether the Commission accomplished its mission or its regulatory, enforcement, investigative, or other oversight activities in an efficient, fair, timely, or legal manner.

The mission and purpose of the Commission is described in the accompanying [Agency Overview](#). The Commission's financial information for the examination period is shown on [Exhibits 1, 2 and 3](#).

**RESULTS OF THE EXAMINATION**

Nonconsumable personal property in the custody of the Commission was compared with the property records maintained by the Property Inventory Control Division of the State Auditor's Office, as required by the *Code of Alabama 1975*, Section 36-16-9. No discrepancies were noted.

Tests performed during the examination did not disclose any significant instances of noncompliance with applicable laws and regulations.

**EXIT CONFERENCE**

An exit conference was held on August 17, 2022. Individuals in attendance were: R. Alan Benefield, Executive Secretary; Patricia Evans, Accountant; and Adrian Arrington, Executive Assistant, along with Robin Hutcheson and Rodney Wagstaff from the Department of Examiners of Public Accounts.





Department of  
**Examiners of Public Accounts**

**AGENCY OVERVIEW**

**Alabama Peace Officers' Standards and Training Commission  
October 1, 2017 through September 30, 2021**

The Alabama Peace Officers' Standards and Training Commission (the "Commission") operates under the provisions of the *Code of Alabama 1975*, Sections 36-21-40 through 36-21-55.4. The Commission prescribes standards for recruitment, appointment and training of law enforcement officers and correctional officers. The Commission certifies law enforcement academies for the purpose of training law enforcement officers and correctional officers. The Commission certifies persons as law enforcement officers and regulates the continuing education requirement for law enforcement officers.

The Commission Members and Official in charge of governance of the Commission are shown on [Exhibit 4](#). Additional information on the Alabama Peace Officers' Standards and Training Commission can be found on their website at [www.apostc.alabama.gov](http://www.apostc.alabama.gov).

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# *Financial Information*

**Summary Schedule of Cash Receipts, Disbursements and Balances - All Funds**  
**For the Period September 30, 2017 through September 30, 2021**

	Education Trust Fund	Peace Officers' Standards and Training Commission Fund	Total
<b>Receipts</b>			
General Fund Allotment	\$	\$ 350,000.00	\$ 350,000.00
Education Trust Fund Allotment	3,700,074.00		3,700,074.00
Court Costs		1,000,682.99	1,000,682.99
Judicial Article Fines		4,989,086.51	4,989,086.51
Official Information Request Fees		1,673.09	1,673.09
Salvage Equipment or Other Property		17,339.04	17,339.04
Interfund Federal Programs		1,700.00	1,700.00
Total Receipts	3,700,074.00	6,360,481.63	10,060,555.63
<b>Disbursements</b>			
Personnel Costs	228,293.05	993,728.30	1,222,021.35
Employee Benefits	83,486.46	385,377.57	468,864.03
In-State Travel		39,288.78	39,288.78
Out-of-State Travel		5,780.83	5,780.83
Repairs and Maintenance		7,823.84	7,823.84
Rentals and Leases	504,411.07	31,034.95	535,446.02
Utilities and Communication		61,246.06	61,246.06
Professional Services		509,091.77	509,091.77
Supplies, Materials, and Operating Expenses		61,581.51	61,581.51
Transportation Equipment Operations		35,363.67	35,363.67
Grants and Benefits	2,904,317.00	5,557,472.62	8,461,789.62
Transportation Equipment Purchases		27.50	27.50
Other Equipment Purchases		40,441.54	40,441.54
Education Trust Fund Reversion	366.42		366.42
Total Disbursements	3,720,874.00	7,728,258.94	11,449,132.94
Excess (Deficiency) of Receipts Over Disbursements	(20,800.00)	(1,367,777.31)	(1,388,577.31)
Cash Balances at Beginning of Year	57,671.00	3,019,579.13	3,077,250.13
Cash Balances at the End of the Year	36,871.00	1,651,801.82	1,688,672.82
Reserve for End of Year Obligations	(36,871.00)	(109,989.41)	(146,860.41)
Unobligated Cash Balances at End of Year	\$	\$ 1,541,812.41	\$ 1,541,812.41

***Schedule of Cash Receipts, Disbursements and Balances - Education Trust Fund  
For the Period October 1, 2017 through September 30, 2021***

	2020-2021	2019-2020	2018-2019	2017-2018	Total
<b>Receipts</b>					
Education Trust Fund Allotment	\$ 1,252,274.00	\$ 1,252,274.00	\$ 598,822.00	\$ 596,704.00	\$ 3,700,074.00
Total Receipts	1,252,274.00	1,252,274.00	598,822.00	596,704.00	3,700,074.00
<b>Disbursements</b>					
Personnel Costs	62,635.30	65,647.90	50,601.54	49,408.31	228,293.05
Employee Benefits	24,096.45	24,435.85	14,314.78	20,639.38	83,486.46
Rentals and Leases	128,669.20	128,300.12	125,030.52	122,411.23	504,411.07
Grants and Benefits	1,033,889.00	1,061,187.00	405,645.00	403,596.00	2,904,317.00
Reversions to Education Trust Fund	2.05	1.13	1.16	362.08	366.42
Total Disbursements	1,249,292.00	1,279,572.00	595,593.00	596,417.00	3,720,874.00
Excess (Deficiency) of Receipts Over Disbursements	2,982.00	(27,298.00)	3,229.00	287.00	(20,800.00)
Cash Balances at Beginning of Year	33,889.00	61,187.00	57,958.00	57,671.00	57,671.00
Cash Balances at End of Year	36,871.00	33,889.00	61,187.00	57,958.00	36,871.00
Reserve for Year-End Obligations	(36,871.00)	(33,889.00)	(61,187.00)	(57,958.00)	(36,871.00)
Unobligated Cash Balances at End of Year	\$	\$	\$	\$	\$

***Schedule of Cash Receipts, Disbursements and Balances***  
***Alabama Peace Officers' Standards and Training Commission Fund***  
***For the Period October 1, 2017 through September 30, 2021***

	2020-2021	2019-2020	2018-2019	2017-2018	Total
<b>Receipts</b>					
General Fund Allotment	\$ 350,000.00	\$	\$	\$	\$ 350,000.00
Court Costs	241,231.91	219,376.37	263,330.89	276,743.82	1,000,682.99
Judicial Article Fines	1,393,042.45	1,154,802.59	1,279,295.08	1,161,946.39	4,989,086.51
Official Information Request Fees	374.00	326.00	489.00	484.09	1,673.09
Salvage Equipment or Other Property	12,981.73	4,234.81	122.50		17,339.04
Interfund Federal Programs	1,700.00				1,700.00
Total Receipts	1,999,330.09	1,378,739.77	1,543,237.47	1,439,174.30	6,360,481.63
<b>Disbursements</b>					
Personnel Costs	252,439.81	242,391.42	255,324.51	243,572.56	993,728.30
Employee Benefits	100,030.27	99,348.46	99,251.09	86,747.75	385,377.57
Travel, In-State	7,993.54	2,991.03	16,027.92	12,276.29	39,288.78
Travel, Out-of-State	2,084.91		2,295.90	1,400.02	5,780.83
Repairs and Maintenance	2,794.12	1,144.07	2,543.60	1,342.05	7,823.84
Rentals and Leases	5,248.34	7,568.63	7,294.19	10,923.79	31,034.95
Utilities and Communication	21,466.76	17,588.88	13,965.50	8,224.92	61,246.06
Professional Services	77,150.97	118,917.91	146,015.40	167,007.49	509,091.77
Supplies, Materials, and Operating Expenses	14,485.12	16,336.89	17,384.37	13,375.13	61,581.51
Transportation Equipment Operations	7,300.63	8,650.34	9,401.09	10,011.61	35,363.67
Grants and Benefits	1,077,421.50	1,130,400.00	1,869,426.12	1,480,225.00	5,557,472.62
Transportation Equipment Purchases		27.50			27.50
Other Equipment Purchases	19,225.82	1,155.98	18,295.44	1,764.30	40,441.54
Total Disbursements	1,587,641.79	1,646,521.11	2,457,225.13	2,036,870.91	7,728,258.94
Excess (Deficiency) of Receipts Over Disbursements	411,688.30	(267,781.34)	(913,987.66)	(597,696.61)	(1,367,777.31)
Cash Balances at Beginning of Year	1,240,113.52	1,507,894.86	2,421,882.52	3,019,579.13	3,019,579.13
Cash Balances at End of Year	1,651,801.82	1,240,113.52	1,507,894.86	2,421,882.52	1,651,801.82
Reserve for Year-End Obligations	(109,989.41)	(270,483.50)	(660,870.11)	(634,385.54)	(109,989.41)
Unobligated Cash Balances at End of Year	\$ 1,541,812.41	\$ 969,630.02	\$ 847,024.75	\$ 1,787,496.98	\$ 1,541,812.41

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## *Other Information*

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***Commission Members and Official***  
***October 1, 2017 through September 30, 2021***

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<b>Commission Members</b>		<b>Term Expires</b>
Hon. James H. Abbett	Chairman	2025
Hon. John W. Anderson	Member	2024
Hon. Jay M. Jones	Member	2024
Hon. Lyle D. Mitchell	Member	2025
Hon. William D. Partridge	Member	2022
Hon. Charles R. Ward	Member	2023
Hon. Ashley Welborn	Member	2025
Hon. Samuel Cochran	Member	2019
Hon. Lewis E. Morris	Member	2018
Hon. William G. Sharp	Member	2020

**Official**

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