

Report on the

**Family Practice Rural Health Board
State of Alabama
Montgomery, Alabama**

October 1, 2016 through September 30, 2019

Filed: March 20, 2020



**Department of
Examiners of Public Accounts**

**401 Adams Avenue, Suite 280
Montgomery, Alabama 36104-4338
P.O. Box 302251
Montgomery, Alabama 36130-2251
Website: www.examiners.alabama.gov**

Rachel Laurie Riddle, Chief Examiner



Rachel Laurie Riddle
Chief Examiner

State of Alabama
Department of
Examiners of Public Accounts

P.O. Box 302251, Montgomery, AL 36130-2251
401 Adams Avenue, Suite 280
Montgomery, Alabama 36104-4338
Telephone (334) 242-9200
FAX (334) 242-1775

Honorable Rachel Laurie Riddle
Chief Examiner of Public Accounts
Montgomery, Alabama 36130

Dear Madam:

An examination was conducted on the Family Practice Rural Health Board, Montgomery, Alabama, for the period October 1, 2016 through September 30, 2019, by Examiners Sandra Dawson-Charles, Benita Moncrief, and Denise Burton. I, Sandra Dawson-Charles, served as Examiner-in-Charge on the engagement, and under the authority of the *Code of Alabama 1975*, Section 41-5A-19, I submit this report to you on the results of the examination.

Sworn to and subscribed before me this
the 5 day of March, 2020.

Gornie M. Gore
Notary Public

Respectfully submitted,

Sandra Dawson-Charles
Sandra Dawson-Charles
Examiner of Public Accounts

rb

Table of Contents

		<i>Page</i>
Summary		A
Contains items pertaining to state legal compliance.		
Comments		B
Contains information pertaining to agency operations and other matters.		
Exhibit #1	Schedule of Cash Receipts, Disbursements and Balances	1
Exhibit #2	Board Members and Official – a listing of the Board Members and Official.	2



Department of
Examiners of Public Accounts

SUMMARY

**Family Practice Rural Health Board
October 1, 2016 through September 30, 2019**

The Family Practice Rural Health Board (the “Board”) assists in determining and prioritizing areas underserved by family physicians. The Board operates under the authority of the *Code of Alabama 1975*, Sections 22-4A-1 through 22-4A-7.

This report presents the results of an examination of the Board and a review of compliance by the Board with applicable laws and regulations of the State of Alabama. This examination was conducted in accordance with the requirements of the Department of Examiners of Public Accounts under the authority of the *Code of Alabama 1975*, Section 41-5A-12. Our examination was performed for the purpose of determining whether the public officers, agents, and employees of the Board properly and lawfully accounted for all money and other public assets or resources received, disbursed, or in the custody of the Board. Our examination included determining compliance by the Board with state laws and regulations that pertain to financial transactions; personnel; safeguarding of state-owned assets, property, and resources; information dissemination, processing, and retention; and official actions, rulemaking procedures, and meetings. As a part of our examination, we also reviewed internal control policies and procedures relating to the areas listed above. Our examination did not encompass managerial and operational matters, such as whether the Board accomplished its mission or its regulatory, enforcement, investigative, or other oversight activities in an efficient, fair, timely, or legal manner.

Tests performed during the examination did not disclose any significant instances of noncompliance with applicable laws and regulations.

The Family Practice Rural Health Board Executive Director, Ellen Stone was invited to an exit conference held on February 24, 2020. Individuals in attendance were Mrs. Ellen Stone along with Sandra Dawson-Charles from the Department of Examiners of Public Accounts.



Department of
Examiners of Public Accounts

COMMENTS

**Family Practice Rural Health Board
October 1, 2016 through September 30, 2019**

The Family Practice Rural Health Board (the "Board") operates under the authority of the *Code of Alabama 1975*, Sections 22-4A-1 through 22-4A-7. The Board was created to assist in increasing the number of family physicians providing medical services to the people of Alabama, especially in underserved rural areas.

The Board is composed of eleven voting members, who serve six-year terms. Members are appointed as follows:

- Two members representing the medically underserved rural areas appointed by the Governor.
- Seven members; one appointed by the Alabama Academy of Family Physicians from each of the seven U. S. Congressional Districts.
- One member appointed from the membership of the House of Representatives by the Speaker of the House of Representatives. The term ends with the end of his/her legislative term.
- One member appointed by the Lieutenant Governor from the membership of the Senate. The term ends with the end of his/her legislative term.

In addition to the voting members, the director, or a designee, of each of the family practice residency programs receiving funds from the Board serves as ex-officio, non-voting member.

The Board grants funds to family practice medical residency programs. The programs must be accredited by the American Academy of Family Physicians or the American College of Osteopathic Family Physicians, or both, and must meet the standards and guidelines established by the Accreditation Council for Graduate Medical Education or the post-doctoral training approved by the American Osteopathic Association, or both.

The Board grants funds to track students in physician residency specialty training programs; for the recruitment of physicians to locate in medically underserved areas; to fund health fairs for the recruitment of physicians or other medical personnel for underserved areas; to fund and provide for medical preceptorship programs; to fund and provide for out-of-state rotations for residency training; and to fund essential studies, assessment or matters within the authority of the Board.

Those seeking grants must complete a grant application prepared and provided by the Board. Grant awards are decided by vote of the Board.

The Board has one employee, an executive director, who serves outside the State's Merit System at the Board's discretion.

The Board receives an annual appropriation from the State's Education Trust Fund through the Alabama Department of Public Health, which processes all the Board's financial transactions.

The Board has no nonconsumable personal property.

***Schedule of Cash Receipts, Disbursements and Balances
For the Period October 1, 2017 through September 30, 2019***

	2018-2019	2017-2018
Receipts		
Education Trust Fund Allotment	\$ 2,486,097.00	\$ 2,486,097.00
Total Receipts	<u>2,486,097.00</u>	<u>2,486,097.00</u>
Disbursements		
Personnel Costs	63,518.83	64,336.96
Employee Benefits	25,390.58	24,608.50
Travel, In-State	1,102.41	1,927.71
Travel, Out-of-State		291.72
Rentals and Leases	4,049.10	4,237.95
Utilities and Communication	856.80	947.27
Supplies, Materials and Operating Expenses	291.87	549.85
Grants and Benefits	2,370,701.45	2,521,643.46
Other Equipment Purchases	326.30	159.84
Prior Year Education Trust Fund Reversion	67,375.71	186,718.23
Current Year Education Trust Fund Reversion	4,105.41	89,621.17
Total Disbursements	<u>2,537,718.46</u>	<u>2,895,042.66</u>
Excess (Deficiency) of Receipts Over Disbursements	(51,621.46)	(408,945.66)
Cash Balances at Beginning of Year	<u>230,355.29</u>	<u>639,300.95</u>
Cash Balances at End of Year	<u>\$ 178,733.83</u>	<u>\$ 230,355.29</u>

Board Members and Official
October 1, 2016 through September 30, 2019

Board Members		Term Expires
Hon. Michael McBrearty, M.D.	Chairman	2020
Hon. W. Dwain Rush, M.D.	Vice-Chairman	2020
Hon. Lee Carter, M.D.	Member	2020
Hon. Garry Magouirk, M.D.	Member	2020
Hon. John Meigs, M.D.	Member	2020
Hon. Alexis Mason, M.D.	Member	2020
Hon. Carol Mitchell Johnson, M.D. (1)	Member	2018
Hon. E. Lee Taylor, M.D.	Member	Deceased
Hon. Beverly Flowers Jordan, M.D.	Member	2016
Hon. Dana Todd, M.D.	Member	2016

Official

Ms. Ellen Stone
Executive Director
19 South Jackson Street
Montgomery, AL 36104
Phone: (334) 954-2576

(1) Continues to serve