

Report on the

Special Report on Public Education Institutions' Legal Expenses, Judgments and Awards

October 1, 2016 through September 30, 2019

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Department of Examiners of Public Accounts

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Rachel Laurie Riddle, Chief Examiner

Table of Contents

	<i>Page</i>
Transmittal Letter	A
Summary	1
Exhibit #1 Postsecondary Institutions' Legal Expenses, Judgments and Awards	3
Exhibit #2 Universities' Legal Expenses, Judgments and Awards	5
Exhibit #3 Other Education Institutions' Legal Expenses, Judgments and Awards	7



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Representative John Rogers
Alabama State House
Montgomery, Alabama 36130

Dear Representative Rogers:

Transmitted herewith is a Special Report on Public Education Institutions' Legal Expenses, Judgments and Awards, prepared by the Department of Examiners of Public Accounts. The exhibits included in this report were prepared from unaudited data furnished by 40 public education institutions in Alabama in response to a request for amounts that were paid for legal expenses, judgments and awards during the 2018-2019 fiscal year. Included is comparative information for the 2016-2017 and 2017-2018 fiscal years.

Please contact me if you need further assistance.

Sincerely,

Rachel Laurie Riddle
Chief Examiner

Enclosure

Summary

The accompanying information on public education institutions' legal expenses, judgments and awards was obtained as a result of requests made to all postsecondary institutions, universities, the Alabama Industrial Development Training Institute, the Alabama Institute for Deaf and Blind, and Marine Environmental Sciences Consortium.

	<u>Number</u>		
	<u>2016-2017 FY</u>	<u>2017-2018 FY</u>	<u>2018-2019 FY</u>
Postsecondary Institutions	29		
Universities	11		
Other Education Institutions	3		
Total	<u>43</u>		
<u>Postsecondary Institutions</u>			
Legal Expenses	\$ 817,178.80	\$ 686,500.66	\$ 2,462,804.64
Awards	75,000.00	5,421.39	86,320.37
Totals	<u>\$ 892,178.80</u>	<u>\$ 691,922.05</u>	<u>\$ 2,549,125.01</u>
<u>Universities</u>			
Legal Expenses	\$ 13,495,668.25	\$ 15,868,420.28	\$ 15,407,130.44
Awards	103,336.65	840,591.59	238,700.90
Totals	<u>\$ 13,599,004.90</u>	<u>\$ 16,709,011.87</u>	<u>\$ 15,645,831.34</u>
<u>Other Education Institutions</u>			
Legal Expenses	\$ 118,240.02	\$ 78,088.74	\$ 104,894.23
Awards			410,000.00
Totals	<u>\$ 118,240.02</u>	<u>\$ 78,088.74</u>	<u>\$ 514,894.23</u>

The following exhibits are included in this report:

- Exhibit #1 Legal expenses, judgments and awards paid by Postsecondary Institutions.
Included are the amounts for the period October 1, 2016 through September 30, 2019.
- Exhibit #2 Legal expenses, judgments and awards paid by Universities.
Included are the amounts for the period October 1, 2016 through September 30, 2019.
- Exhibit #3 Legal expenses, judgments and awards paid by Other Education Institutions.
Included are the amounts for the period October 1, 2016 through September 30, 2019.

***Postsecondary Institutions' Legal Expenses, Judgments and Awards
October 1, 2016 through September 30, 2019***

Institution	2016-2017 FY	
	Expenses	Awards
Alabama Community College System Office	\$	\$
Alabama Southern Community College (*)		
Bevill State Community College	75,488.52	
Bishop State Community College	271,531.93	
John C. Calhoun Community College	35,924.82	
Central Alabama Community College	22,559.70	
Chattahoochee Valley Community College		
Coastal Alabama Community College (*)	18,695.44	
J. F. Drake State Technical College		
Enterprise State Community College		
James H. Faulkner State Community College (*)	4,231.50	
Gadsden State Community College		
J. F. Ingram State Technical College		
Jefferson Davis Community College (*)		
Jefferson State Community College		
T. A. Lawson State Community College		
Lurleen B. Wallace Community College	722.10	
Marion Military Institute		
Northeast Alabama Community College		
Northwest-Shoals Community College	48,000.00	
Reid State Technical College		
Shelton State Community College	104,722.21	75,000.00
Snead State Community College		
Southern Union State Community College	1,409.61	
H. Councill Trenholm State Technical College		
George C. Wallace Community College - Dothan		
Wallace State Community College - Hanceville	190,835.65	
George Corley Wallace State Community College - Selma	43,057.32	
Alabama Technology Network		
Total	<u>\$ 817,178.80</u>	<u>\$ 75,000.00</u>

(*) - Alabama Southern Community College, James H. Faulkner State Community College, and Jefferson Davis Community College were merged to become Coastal Alabama Community College effective January 11, 2017.

Note: Amounts under \$15,000 are included if provided.

2017-2018 FY		2018-2019 FY	
Expenses	Awards	Expenses	Awards
\$	\$	\$ 1,797,146.53	\$
22,504.61			
97,707.99	709.50	69,686.16	18,322.00
23,728.00		1,035.17	
40,908.41		46,903.20	
53,000.89		183,113.91	55,067.17
90,781.35		20,544.00	10,000.00
		27,185.95	
		4,950.00	587.35
15,501.63		14,199.98	
18,467.45		5,578.45	
111,248.33		41,581.17	
35,893.31	3,400.00	57,921.62	
31,191.45		56,356.04	
		104,351.84	2,343.85
89,876.21		32,250.62	
55,691.03	1,311.89		
<u>\$ 686,500.66</u>	<u>\$ 5,421.39</u>	<u>\$ 2,462,804.64</u>	<u>\$ 86,320.37</u>

***Universities' Legal Expenses, Judgments and Awards
October 1, 2016 through September 30, 2019***

Institution	2016-2017 FY	
	Expenses	Awards
Alabama A&M University	\$ 548,302.46	\$ -
Alabama State University	725,497.11	
Athens State University	45,884.41	
Auburn University	2,866,951.00	94,050.00
Jacksonville State University	287,808.05	
Troy University System	68,273.82	9,286.65
University of Alabama System	7,277,530.52	
University of Montevallo	56,857.76	
University of North Alabama	349,034.83	
University of South Alabama	1,210,818.29	
University of West Alabama	58,710.00	
Total	<u>\$ 13,495,668.25</u>	<u>\$ 103,336.65</u>

Note: Amounts under \$15,000 are included if provided.

2017-2018 FY		2018-2019 FY	
Expenses	Awards	Expenses	Awards
\$ 759,831.54	\$ -	\$ 851,616.73	\$ -
657,032.22		792,972.71	
31,893.77	2,528.77	74,999.27	
4,619,855.00	830,437.00	3,299,024.74	234,630.50
385,555.45		475,615.19	
28,250.59	1,656.50	103,793.82	1,776.86
7,194,374.45	5,969.32	7,668,979.53	2,293.54
91,513.28		49,798.66	
534,877.44		563,367.93	
1,472,097.54		1,459,384.00	
93,139.00		67,577.86	
\$ 15,868,420.28	\$ 840,591.59	\$ 15,407,130.44	\$ 238,700.90

***Other Education Institutions' Legal Expenses, Judgments and Awards
October 1, 2016 through September 30, 2019***

Institution	2016-2017 FY	
	Expenses	Awards
Alabama Industrial Development Training Institute	\$ 22,711.36	\$ -
Alabama Institute for Deaf and Blind	95,528.66	-
Marine Environmental Sciences Consortium		
Total	<u>\$ 118,240.02</u>	<u>\$ -</u>

Note: Amounts under \$15,000 are included if provided.

2017-2018 FY		2018-2019 FY	
Expenses	Awards	Expenses	Awards
\$ -	\$ -	\$ -	\$ -
78,088.74	-	104,894.23	410,000.00
<u>\$ 78,088.74</u>	<u>\$ -</u>	<u>\$ 104,894.23</u>	<u>\$ 410,000.00</u>